NIKKO AM ARK DISRUPTIVE INNOVATION FUND

January 2024 Factsheet

Key Facts

Total Fund Size:	USD 2,804,603,850
Minimum Investment:	USD 1,000 or equivalent in other currencies
Benchmark	Nil
Legal Structure:	Sub-fund of Luxembourg SICAV qualifying as a UCITS
Investment Manager:	Nikko Asset Management Americas, Inc.
Investment Adviser	ARK Investment Management LLC.
Management Company:	Nikko Asset Management Luxembourg S.A
Portfolio Managers:	Takeshi Noda & Catherine Dine (Nikko AM Americas)
Depositary:	BNP Paribas Securities Services (Luxembourg) S.C.A.
Management Fee:	USD Class B & SGD Class B: 1.50% p.a.
Sales Charge:	USD Class B & SGD Class B: Up to 5.0%
Dealing:	Daily
Base Currency:	USD

Investment Objective

The investment objective of the Fund is to achieve long term capital growth. The Fund will invest, under normal market conditions, primarily in global equity securities of companies that are relevant to the investment theme of disruptive innovation - defined as the introduction of a technologically enabled new product or service that potentially changes the way the world works. The Investment Manager believes that companies relevant to this theme are those that rely on or benefit from the development of new products or services, technological improvements and advancements in scientific research relating to:

Genomic Revolution Companies - the study of genes and their functions and related techniques

Industrial Innovation Companies - in energy, automation and manufacturing

Web x.0 Companies – the increased use of shared technology, infrastructure and services

FinTech Innovation Companies – technologies that make financial services more efficient

Performance (%)

Share Class		3M	6M	1Y	3Y	5Y	Since Inception
Class B USD	NAV-NAV	29.44	-10.09	14.93	-30.96	0.51	0.51
	NAV-NAV (with charges[1])	22.97	-14.58	9.18	-32.13	-0.52	-0.52
Class B SGD	NAV-NAV	26.25	-9.51	16.81	-30.81	-	-2.89
	NAV-NAV (with charges[1])	19.94	-14.03	10.97	-31.99	-	-3.98

Source: Nikko Asset Management Europe Ltd, 31 January 2024

Returns are calculated on a NAV-NAV basis and assuming all dividends and distributions are reinvested, if any. Returns for period in excess of 1 year are annualised. Past performance is not indicative of future performance.

[1] Takes into account of maximum initial sales charge and a realisation charge, currently nil, as and where applicable.

Fund Holdings

Top 10 Holdings	Weight
Roku Inc	8.0%
Tesla Motors, Inc.	7.4%
Coinbase Global Inc	7.3%
Zoom Video Communications Inc	6.6%
Block, Inc	6.2%
Roblox Corp	4.0%
Draftkings Inc	4.0%
Twilio, Inc. Class A	3.9%
Unity Software Inc	3.7%
Uipath Inc	3.7%

Market Cap	Weight
Large Cap (b/t USD 10Billion and USD 50Billion)	57.6%
Mid Cap (b/t USD 2Billion and USD 10Billion)	17.9%
Mega Cap (over USD 50Billion)	14.4%
Small Cap (Less than USD 2Billion)	9.5%

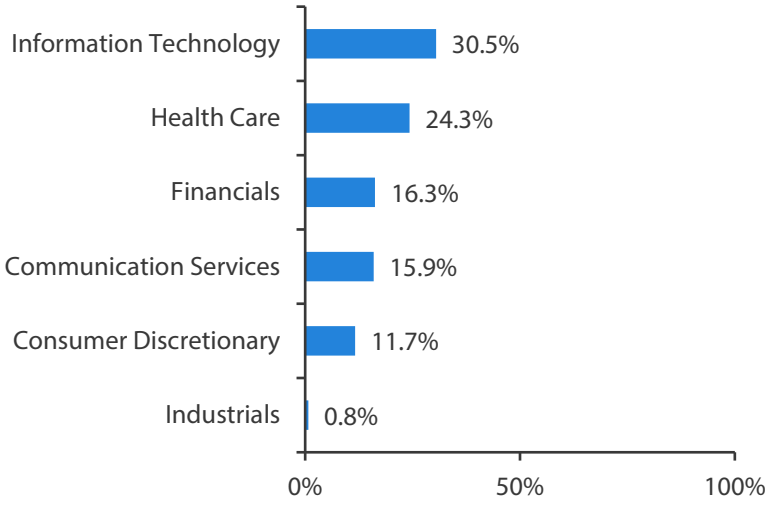
Currency	Weight*
USD	99.9%

Portfolio

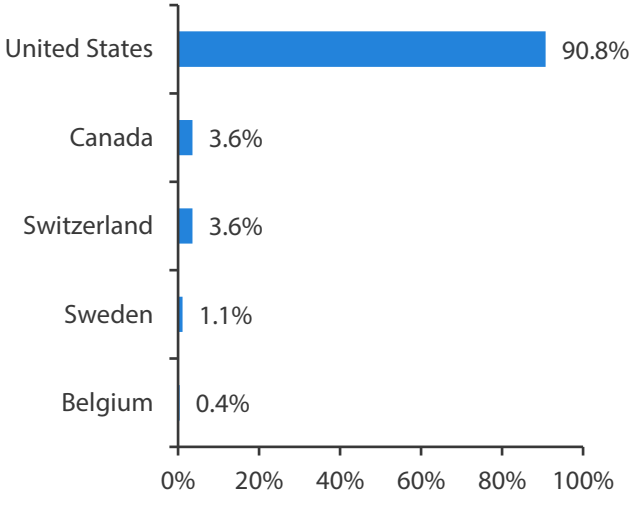
Fund Composition*	Weight
Digital Media	15.2%
Cloud Computing	15.1%
E-Commerce	9.0%
Big Data & Machine Learning	8.2%
Blockchain & P2P	7.7%
Mobile	6.1%
Gene Therapy	6.0%
Instrumentation	5.8%
Internet of Things	4.7%
Beyond DNA	4.0%
Social Platforms	3.7%
Next Generation Oncology	2.9%
Energy Storage	2.5%
Targeted Therapeutics	2.1%
Molecular Diagnostics	1.9%
Bioinformatics	1.7%
Robotics	1.5%
Autonomous Vehicles	0.8%
Development of Infrastructure	0.8%
3D Printing	0.4%
Stem Cells	0.0%

*Fund composition is based on model portfolio weights and not the actual portfolio weights.

Sector Allocation



Country Allocation



Note: Only positive value information is displayed

Fund Information

Share Class	Inception Date	NAV	ISIN	Bloomberg Ticker
SGD Class B	26 July 2019	SGD 8.760	LU1861559042	NIKADBS LX
USD Class B	31 January 2019	USD 10.256	LU1861558580	NIKADBU LX

Source: Nikko Asset Management Asia Limited as of 31 January 2024.

Contact Us

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